

# On the Ratio Vectors of Polynomials

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## 1. INTRODUCTION

Let  $p(x)$  be a polynomial of degree  $n \geq 2$  with  $n$  distinct real roots  $r_1 < r_2 < \cdots < r_n$ . Let  $x_1 < x_2 < \cdots < x_{n-1}$  be the critical points of  $p$ , and define the *ratios*

$$\sigma_i = \frac{x_i - r_i}{r_{i+1} - r_i}, \quad i = 1, \dots, n-1. \quad (1)$$

$(\sigma_1, \dots, \sigma_{n-1})$  is called the *ratio vector* of  $p$ , and  $\sigma_k$  is called the  $k$ th ratio. In [2] Andrews recently proved

$$\frac{1}{n-i+1} < \sigma_i < \frac{i}{i+1}. \quad (2)$$

Andrews also defined the subsets of  $R^{n-1}$ ,  $X_n$  and  $Y_n$ .

$$X_n = \prod_{i=1}^{n-1} \left( \frac{1}{n-i+1}, \frac{i}{i+1} \right),$$

and  $Y_n$  is the set of elements in  $R^{n-1}$  which are the ratio vectors of polynomials with  $n$  distinct real zeroes. For  $n = 3$  Andrews proved in [2] that

$$(1 - \sigma_1)\sigma_2 = \frac{1}{3}. \quad (3)$$

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By (2),  $Y_n \subseteq X_n$ , and in [2] the following question was posed: Does  $Y_n = X_n$ ? Equation (3) actually shows immediately that  $Y_3 \neq X_3$ . We also show that  $Y_4 \neq X_4$ . Indeed, for  $n = 3$ , we show (Theorem 1) that  $Y_3$  is *precisely* the subset of  $X_3$  given by the curve in (3). For  $n = 4$ , we show (Theorem 2) that every point in  $Y_4$  lies on the zero set of a polynomial  $Q(x, y, z)$  of degree 9.

We also investigate the *monotonicity* of the ratios. For  $n = 3$ , it follows immediately from (2) that  $\sigma_1 < \sigma_2$ . For  $n = 4$ , we also prove (Theorem 3) that  $\sigma_1 < \sigma_2 < \sigma_3$ . The monotonicity fails in general for each  $n \geq 5$  (see Theorem 6). However, if the roots of a polynomial are real, distinct, and *equispaced*, then the ratios are monotonic (see Theorem 4).

To prove the lack of monotonicity of the ratios for  $n \geq 5$ , we investigate the ratio vectors of polynomials with three real, distinct zeros:  $p(x) = x^m(x - r)(x - s)$ , where  $0 < r < s$ , and  $m \geq 2$ . Similar to Theorem 1, we give a complete characterization of the ratios  $\sigma_1 = x_1/r$  and  $\sigma_2 = (x_2 - r)/s - r$ . More precisely, let  $Y_m$  = set of elements in  $R^2$  which are the ratio vectors of polynomials  $p(x) = x^m(x - r)(x - s)$ , with  $0 < r < s$  and  $m \geq 2$ . We prove (Theorem 5) that  $Y_m = Z_m$ , where

$$Z_m = \left\{ (\sigma_1, \sigma_2) : \frac{m}{m+2} < \sigma_1 < \frac{m}{m+1} \quad \text{and} \right. \\ \left. \sigma_2 = \frac{1}{(m+2)(1-\sigma_1)} \right\}.$$

It is probably impossible to completely characterize the ratios of  $n$ th degree polynomials with all real, distinct zeros. Indeed, even for  $n = 4$ , we have only shown that  $Y_4 \subseteq X_4 \cap \{(x, y, z) : Q(x, y, z) = 0\}$ . It would be nice to prove, for any  $n$ , that  $(\sigma_1, \dots, \sigma_{n-1})$  is a subset of the zero set of a polynomial  $Q$  in  $n - 1$  variables,  $Q$  independent of  $r_1, \dots, r_n$ .

## 2. RATIO VECTORS FOR CUBICS AND QUARTICS

Recall that  $X_3 = \{(x, y) \in R^2 : \frac{1}{3} < x < \frac{1}{2} \text{ and } \frac{1}{2} < y < \frac{2}{3}\}$ , and  $Y_3 = \{(\sigma_1, \sigma_2) : (\sigma_1, \sigma_2) \text{ is the ratio vector of a cubic polynomial with all real, distinct zeros}\}$ .

**THEOREM 1.** *Let  $Z_3 = \{(\sigma_1, \sigma_2) \in X_3 : (1 - \sigma_1)\sigma_2 = \frac{1}{3}\}$ . Then  $Y_3 = Z_3$ .*

*Proof.* If  $p(x) = (x - r_1)(x - r_2)(x - r_3)$ , then a simple computation shows that  $p'(x) = 3(x - x_1)(x - x_2)$ , where

$$\begin{aligned} x_1 &= \frac{r_1 + r_2 + r_3}{3} - \frac{\sqrt{r_1^2 + r_2^2 + r_3^2 - r_1 r_2 - r_1 r_3 - r_2 r_3}}{3} \\ x_2 &= \frac{r_1 + r_2 + r_3}{3} + \frac{\sqrt{r_1^2 + r_2^2 + r_3^2 - r_1 r_2 - r_1 r_3 - r_2 r_3}}{3}. \end{aligned} \quad (4)$$

Suppose now that  $(s, t) \in Z_3$ , so that  $\frac{1}{3} < s < \frac{1}{2}$  (it is also true that  $\frac{1}{2} < t < \frac{2}{3}$ , but we do not need to use that fact). Now fix any two values for  $r_1$  and  $r_2$ . For convenience let  $r_1 = 0$  and  $r_2 = 1$ . Then

$$\begin{aligned} x_1 &= \frac{r_3 + 1}{3} - \frac{\sqrt{r_3^2 - r_3 + 1}}{3} & \text{and} \\ x_2 &= \frac{r_3 + 1}{3} + \frac{\sqrt{r_3^2 - r_3 + 1}}{3}, & \text{and} \end{aligned}$$

if  $\sigma_1$  is the first ratio of  $p$ , then  $\sigma_1 = x_1$ . Now let  $f(r_3) = (r_3 + 1)/3 - \sqrt{r_3^2 - r_3 + 1}/3$ . Then  $f(1) = \frac{1}{3}$ , and  $\lim_{r_3 \rightarrow \infty} f(r_3) = \frac{1}{2}$ . Hence, by the Intermediate Value Theorem,  $f(r_3) = s$  for some  $r_3 > 1$ . That is, the monic polynomial  $p(x)$  with roots 0, 1, and  $r_3$  has  $s$  as its first ratio. Let  $s = (x_2 - r_2)/(r_3 - r_2) = (x_2 - 1)/(r_3 - 1)$ . By (3), with  $n = 3$ ,  $(1 - \sigma_1)\sigma_2 = \frac{1}{3}$ . Since  $t \in Z_3$ ,  $(1 - s)t = \frac{1}{3}$ . Hence  $t = \sigma_2$ , and that proves that  $Z_3 \subseteq Y_3$ . Equations (2) and (3) imply that  $Y_3 \subseteq Z_3$ , and hence  $Y_3 = Z_3$ . This proves that  $Z_3 \subseteq Y_3$ . Equations (2) and (3) imply that  $Y_3 \subseteq Z_3$ , and hence  $Y_3 = Z_3$ .

*Remark.* In the proof above, we could have fixed any two values of  $r_1$  and  $r_2$ , and the proof would follow in a similar, but slightly more complicated, fashion.

Now we consider the quartic case where  $p(x) = (x - r_1)(x - r_2)(x - r_3)(x - r_4)$ . Since  $p(x)$  and  $p(x + c)$  have the same ratio vectors for any constant  $c$ , we may assume, without loss of generality, that  $r_2 = 0$ . Then we have

$$p(x) = (x - r_1)x(x - r_3)(x - r_4), \text{ with } r_1 < 0 < r_3 < r_4. \quad (5)$$

Then

$$p'(x) = 4x^3 - 3x^2(r_1 + r_3 + r_4) + 2x(r_1r_3 + r_1r_4 + r_3r_4) - r_1r_3r_4.$$

Letting  $x_1 < x_2 < x_3$  denote the critical points of  $p$ , we also have

$$\begin{aligned} p'(x) &= 4(x - x_1)(x - x_2)(x - x_3) \\ &= 4x^3 - 4x^2(x_1 + x_2 + x_3) \\ &\quad + 4x(x_1x_2 + x_1x_3 + x_2x_3) - 4x_1x_2x_3. \end{aligned}$$

Equating coefficients gives:

$$x_1x_2x_3 = \frac{1}{4}r_1r_3r_4 \quad (6)$$

$$x_1 + x_2 + x_3 = \frac{3}{4}(r_1 + r_3 + r_4) \quad (7)$$

$$x_1x_2 + x_1x_3 + x_2x_3 = \frac{1}{2}(r_1r_3 + r_1r_4 + r_3r_4). \quad (8)$$

Now  $x_1 = (1 - \sigma_1)r_1$ ,  $x_2 = \sigma_2r_3$ , and  $x_3 = (r_4 - r_3)\sigma_3 + r_3$ . Substituting into the LHS of Eqs. (6)–(8) yields

$$((1 - \sigma_1)\sigma_2\sigma_3 - \frac{1}{4})r_4 + (1 - \sigma_1)\sigma_2(1 - \sigma_3)r_3 = 0, \quad (9)$$

$$(\frac{1}{4} - \sigma_1)r_1 + (\frac{1}{4} + \sigma_2 - \sigma_3)r_3 + (\sigma_3 - \frac{3}{4})r_4 = 0, \quad (10)$$

$$\begin{aligned} &(\sigma_1(\sigma_2 - \sigma_3 + 1) - \sigma_2 + \sigma_3 + 1)r_1r_3 + (\sigma_1\sigma_3 - \sigma_3 + 2)r_1r_4 \\ &+ \sigma_2(\sigma_3 - 1)r_3^2 + (2 - \sigma_2\sigma_3)r_3r_4 = 0. \end{aligned} \quad (11)$$

One can easily solve (9) and (10) for  $r_1$  and  $r_3$  in terms of  $r_4$ . We used Derive to do this, giving

$$\begin{aligned} r_1 &= -r_4 \frac{16\sigma_1\sigma_2^2\sigma_3 - 24\sigma_1\sigma_2\sigma_3 + 12\sigma_1\sigma_2 - 16\sigma_2^2\sigma_3 + 24\sigma_2\sigma_3 - 8\sigma_2 - 4\sigma_3 + 1}{4\sigma_2(1 - \sigma_3)(\sigma_1 - 1)(4\sigma_1 - 1)}, \\ r_3 &= -r_4 \left( \frac{4\sigma_2\sigma_3(\sigma_1 - 1) + 1}{4\sigma_2(1 - \sigma_3)(\sigma_1 - 1)} \right). \end{aligned} \quad (13)$$

Now substitute (12) and (13) into (11), which gives an equation of the form  $Q(\sigma_1, \sigma_2, \sigma_3)r_4^2 = 0$ , which implies that  $Q(\sigma_1, \sigma_2, \sigma_3) = 0$ . This defines the smooth surface in  $R^3$  on which the ratio vectors  $(\sigma_1, \sigma_2, \sigma_3)$  must lie. We found  $Q$  explicitly, using Derive again to do the algebraic simplifications.  $Q$  is a polynomial of total degree 9 in  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$ , and it has 38

nonzero terms. Thus we have proved

**THEOREM 2.**  $Y_4$  is a subset of the surface  $Q = 0$ , where  $Q$  is the following polynomial:

$$\begin{aligned} Q := (x, y, z) \rightarrow & -1 - 384x^2y^4z^2 - 288x^2y^3z \\ & + 704x^2y^3z^2 + 2x - 128y^4z^2 - 96y^3z - 264y^2z^2 \\ & + 144y^2z + 80yz^2 - 54yz + 8xz^2 - 18xy - 24x^2y^2 \\ & + 40xy^2 + 8x^2y + 116xyz - 128xyz^2 - 376xy^2z \\ & + 296x^2y^2z + 48x^2yz^2 + 568xy^2z^2 + 288xy^3z \\ & - 368x^2y^2z^2 - 832xy^3z^2 - 56x^2yz + 384xy^4z^2 \\ & - 64x^3y^2z + 96x^3y^3z + 64x^3y^2z^2 - 192x^3y^3z^2 \\ & + 128x^3y^4z^2 - 8z^2 - 10xz - 16y^2 + 320y^3z^2 + 8y \\ & + 6z \end{aligned}$$

We used Maple to factor  $Q$ , and it has two irreducible factors:

$$\begin{aligned} (1 - 4y + 4xy)(32x^2y^3z^2 + 24x^2y^2z - 48x^2y^2z^2 \\ + 16x^2yz^2 - 16x^2yz - 64xy^3z^2 - 48xy^2z \\ + 120xy^2z^2 - 64xyz^2 - 6xy + 52xyz + 2x - 10xz \\ + 8xz^2 + 32y^3z^2 + 24y^2z - 72y^2z^2 + 48yz^2 + 4y \\ - 30yz - 1 + 6z - 8z^2). \end{aligned}$$

*Remark.* Theorem 2 shows immediately that  $Y_4 \neq X_4$  since the zero set of a polynomial must have measure 0 in  $R^3$ , while  $X_4$  has positive measure.

**EXAMPLE.** Let  $r_1 = -1$ ,  $r_2 = 0$ ,  $r_3 = 1$ , and  $r_4 = 2$ , and hence  $p(x) = x(x^2 - 1)(x - 2)$ . The roots of  $p'(x)$  are

$$x_1 = \frac{1}{2} - \frac{\sqrt{5}}{2}, \quad x_2 = \frac{1}{2}, \quad \text{and} \quad x_3 = \frac{1}{2} + \frac{\sqrt{5}}{2},$$

which implies that

$$\sigma_1 = \frac{3}{2} - \frac{\sqrt{5}}{2}, \quad \sigma_2 = \frac{1}{2}, \quad \text{and} \quad \sigma_3 = -\frac{1}{2} + \frac{\sqrt{5}}{2}.$$

One can check that substituting these values into  $Q$  does give 0.

## 3. MONOTONICITY OF RATIOS

For  $n = 3$ , since  $\sigma_1 < \frac{1}{2} < \sigma_2$ , it is trivial that  $\sigma_1 < \sigma_2$ . This result also holds for  $n = 4$ , but the proof is not quite so trivial:

**THEOREM 3.** *Let  $n = 4$ , and let  $p(x) = (x - r_1)(x - r_2)(x - r_3)(x - r_4)$  be any polynomial with all real, distinct roots. Then the ratios are increasing—i.e.,  $\sigma_1 < \sigma_2 < \sigma_3$ .*

*Proof.* Write  $p'(x) = 4(x - x_1)(x - x_2)(x - x_3)$ . As earlier, we have (without assuming  $r_2 = 0$ ):

$$x_1 x_2 x_3 = \frac{1}{4}(r_1 r_2 r_3 + r_1 r_2 r_4 + r_1 r_3 r_4 + r_2 r_3 r_4). \quad (14)$$

Now assume, without loss of generality, that  $r_2 = 0$ . Using  $x_1 = (1 - \sigma_1)r_1$ ,  $x_2 = \sigma_2 r_3$ , and  $x_3 = (1 - \sigma_3)r_3 + \sigma_3 r_4$ , we have  $(1 - \sigma_1)r_1 \sigma_2 r_3 ((1 - \sigma_3)r_3 + \sigma_3 r_4) = \frac{1}{4}r_1 r_3 r_4$ , which implies that

$$(1 - \sigma_1)\sigma_2(1 - \sigma_3)r_3 + ((1 - \sigma_1)\sigma_2\sigma_3 - \frac{1}{4})r_4 = 0.$$

Since  $0 < r_3$ ,  $0 < r_4$ , and  $(1 - \sigma_1)\sigma_2(1 - \sigma_3) > 0$ ,  $(1 - \sigma_1)\sigma_2\sigma_3 - \frac{1}{4} < 0$ .

Also, since  $(1 - \sigma_1)\sigma_2(1 - \sigma_3)r_3 = (\frac{1}{4} - (1 - \sigma_1)\sigma_2\sigma_3)r_4$  and  $r_3 < r_4$ ,  $\frac{1}{4} - (1 - \sigma_1)\sigma_2\sigma_3 < (1 - \sigma_1)\sigma_2(1 - \sigma_3)$ , which implies that  $\frac{1}{4} < (1 - \sigma_1)\sigma_2$ . Hence

$$\begin{aligned} \frac{\sigma_2}{\sigma_1} &> \frac{1/4}{(1 - \sigma_1)\sigma_1} > \frac{1}{4} \min_{x \in R} \left( \frac{1}{x(1 - x)} \right) \\ &= \frac{1}{4} \frac{1}{\max_{x \in R} (x(1 - x))} = 1. \end{aligned}$$

To prove that  $\sigma_3/\sigma_2 > 1$ , assume that  $r_3 = 0$  in (14). The proof then follows in exactly the same fashion.

The following example shows that Theorem 2 does *not* hold for  $n = 5$ .

*Remark.* Note that by (2),  $\sigma_1 < \frac{1}{2} < \sigma_{n-1}$  for any  $n$ . So the ratios cannot be nonincreasing, and to prove they are nonmonotonic it suffices to prove that they are not nondecreasing either.

**EXAMPLE.** Let  $p(x) = x(x - .1)(x - .2)(x - 2)(x - 3)$ . By numerically approximating the critical points of  $p$ , one can show that  $\sigma_3 \approx .66947$  and  $\sigma_4 \approx .63688$ , so that  $\sigma_3 > \sigma_4$ .

To show in general that the ratios are not monotonic for any  $n \geq 5$ , one considers the polynomial  $p(x) = x^m(x - r)(x - s)$ . For suitable  $r$  and  $s$ ,  $p$  will have nonmonotonic ratios. A small perturbation of  $p$  then gives a

polynomial with distinct real roots and nonmonotonic ratios. We do this in the next section. It is true, however, that for each  $n$  there are polynomials  $p$  with all real, distinct roots, such that the ratios of  $p$  are monotonic. Indeed, we prove

**THEOREM 4.** *Suppose that the roots of the polynomial  $p(x)$  are all real, distinct, and equispaced. Then the ratios of  $p$  are monotonic.*

*Proof.* Let  $r_1 < r_2 < \cdots < r_n$  be the roots of  $p$ , and let  $d = r_{k+1} - r_k$ ,  $k = 1, \dots, n-1$ . Then  $\sigma_k = (x_k - r_k)/d$  for any  $k$ , where the  $x_k$  are the critical points of  $p$ . By [3, E.12, p. 26]

$$\min_{1 \leq k \leq n-2} (x_{k+1} - x_k) > \min_{1 \leq i \leq n-1} (r_{i+1} - r_i).$$

Hence,

$$x_{k+1} - x_k > d = r_{k+1} - r_k,$$

which implies that

$$x_{k+1} - r_{k+1} > x_k - r_k,$$

and hence  $\sigma_{k+1} > \sigma_k$ .

We investigate briefly the ratio vectors of  $p(x) = x^m(x-r)(x-s)$  in the next section.

#### 4. RATIO VECTORS OF POLYNOMIALS WITH THREE DISTINCT REAL ROOTS AND A ZERO OF MULTIPLICITY $m$

**THEOREM 5.** *Let  $p(x) = x^m(x-r)(x-s)$ , with  $0 < r < s$  and  $m \geq 2$ . Write  $p'(x) = (m+2)x^{m-1}(x-x_1)(x-x_2)$ , and define the ratios  $\sigma_1 = x_1/r$  and  $\sigma_2 = (x_2-r)/(s-r)$ . Let  $Y_m =$  set of elements in  $R^2$  which are the ratio vectors of polynomials  $p(x) = x^m(x-r)(x-s)$ , with  $0 < r < s$  and  $m \geq 2$ . Then  $Y_m = Z_m$ , where*

$$Z_m = \left\{ (\sigma_1, \sigma_2) : \frac{m}{m+2} < \sigma_1 < \frac{m}{m+1} \text{ and } \sigma_2 = \frac{1}{(m+2)(1-\sigma_1)} \right\}.$$

*Remark.* If  $m = 1$ , we obtain Andrew's bounds for  $\sigma_1$  (see (2)).

However, for  $m \geq 2$ ,  $m/(m+2)$  is a better lower bound than taking limits of polynomials with distinct real roots and using (2) (in that case one gets  $\frac{1}{3} \leq \sigma_1$ ).

*Proof.* The proof that  $m/(m+2) < \sigma_1 < m/(m+1)$  is similar to the proof of (2) in [2]. Let  $s \rightarrow r$  (for fixed  $r$ ) in a decreasing manner. Then  $p(x) \rightarrow x^m(x-r)^2$ , and hence  $p'(x) \rightarrow x^{m-1}(x-r)(2x+m(x-r))$ .

By the root-dragging theorem (see [1]),  $x_1$  decreases to the root of  $2x+m(x-r)$ , which is  $mr/(m+2)$ . Hence  $\sigma_1$  decreases to  $m/(m+2)$ , and that must be the lower bound for  $\sigma_1$ . In a similar fashion, one can prove that  $\sigma_1 < m/(m+1)$ . Or, one could take limits of polynomials with distinct real roots, the first  $m$  of which are close to 0, and use (2) (note that in that case  $\sigma_1$  is really  $\sigma_m$ ). However, that would only give  $\sigma_1 \leq m/(m+1)$ . We also have the relations  $((m+1)/(m+2))(r+s) = x_1 + x_2$  and  $(m/(m+2))rs = x_1x_2$ . This implies that

$$\left(\frac{m+1}{m+2}\right)(r+s) = \sigma_1 r + (s-r)\sigma_2 + r$$

and

$$\left(\frac{m}{m+2}\right)rs = \sigma_1 r((s-r)\sigma_2 + r).$$

Simplifying yields

$$(a - \sigma_1 + \sigma_2 - 1)r + (a - \sigma_2)s = 0$$

$$(\sigma_1\sigma_2 - \sigma_1)r + (b - \sigma_1\sigma_2)s = 0,$$

where  $a = (m+1)/(m+2)$  and  $b = m/(m+2)$ . To get nontrivial solutions to this homogeneous linear system in  $r$  and  $s$ , we set the determinant equal to 0. This gives

$$\sigma_2 = \frac{a(b + \sigma_1) - b(1 + \sigma_1)}{2a\sigma_1 - b - \sigma_1^2} = \frac{1}{(m+2)(1 - \sigma_1)}.$$

That proves that  $Y_m \subseteq Z_m$ .

Now assume, without loss of generality, that  $r = 1$ , so that  $p(x) = x^m(x-1)(x-s)$ . Then  $\sigma_1 = x_1$ , and a simple computation gives

$$x_1 = \frac{(m+1)(s+1) - \sqrt{m^2(s-1)^2 + 2m(s-1)^2 + (s+1)^2}}{2(m+2)} := f(s)$$

Now  $f(1) = m/(m+2)$  and  $\lim_{s \rightarrow \infty} f(s) = m/(m+1)$ . Hence, by the Intermediate Value Theorem, if  $m/(m+2) < t < m/(m+1)$ , then  $\exists s > 1$  such that  $f(s) = \sigma_1$ . Hence  $t$  is the first ratio of  $p$ , and by what we have just proved, if  $\sigma_2 = (x_2 - r)/(s - r) = (x_2 - 1)/(s - 1)$  is the other ratio of  $p$ , then  $\sigma_2 = 1/(m+2)(1 - t)$ . That proves that  $Z_m \subseteq Y_m$  and completes the proof of Theorem 5.



We can now prove that the ratios of polynomials with distinct real roots are not monotonic in general for any  $n \geq 5$ .

**THEOREM 6.** *Let  $n \geq 5$ . Then there exists a polynomial  $p$  of degree  $n$ , with distinct real roots, such that  $\sigma_{n-2} > \sigma_{n-1}$ .*

*Proof.* First consider  $p(x) = x^m(x-r)(x-s)$ , with  $0 < r < s$  and  $m = n - 2 \geq 3$ . Let  $\sigma_1 = x_1/r$ ,  $\sigma_2 = (x_2 - r)/(s - r)$ , and  $g(x) = 1/(m + 2)x$ . By Theorem 5,  $(\sigma_1, \sigma_2)$  lies on the graph of  $y = g(x)$ . The line  $y = x$  intersects the graph of  $g$  at

$$x = \frac{m + 2 \pm \sqrt{m^2 - 4}}{2(m + 2)} = \frac{1}{2} \pm \frac{\sqrt{m^2 - 4}}{2(m + 2)}.$$

In addition, the line  $y = x$  lies above the graph of  $g$  between

$$u_1 = \frac{1}{2} - \frac{\sqrt{m^2 - 4}}{2(m + 2)} \text{ and } u_2 = \frac{1}{2} + \frac{\sqrt{m^2 - 4}}{2(m + 2)}.$$

Now a simple computation shows that

$$\frac{1}{2} - \frac{\sqrt{m^2 - 4}}{2(m + 2)} < \frac{m}{m + 2} < \frac{1}{2} + \frac{\sqrt{m^2 - 4}}{2(m + 2)}$$

whenever  $m > 2$ . Hence there exists  $\sigma_1$  such that  $u_1 < \sigma_1 < u_2$  and  $m/(m + 2) < \sigma_1 < m/(m + 1)$ . By Theorem 5,  $\sigma_1$  is the first ratio of some polynomial  $p(x) = x^m(x-r)(x-s)$ , with  $0 < r < s$ , so that  $\sigma_1 = x_1/r$ . Then the second ratio  $\sigma_2 = (x_2 - r)/(s - r)$  must satisfy  $\sigma_2 = 1/(m + 2)(1 - \sigma_1) = g(\sigma_1)$ , again by Theorem 5. Since  $y = x$  lies above the graph of  $g$  for  $u_1 < x < u_2$ ,  $\sigma_2 < \sigma_1$ . Now let  $q(x)$  be a polynomial of degree  $n$  with  $n$  distinct real roots  $r_1 < \cdots < r_{n-3} < 0 < r < s$ . If the  $r_k$  are chosen sufficiently close to 0, then  $\sigma_{n-2} > \sigma_{n-1}$ , and hence the ratios of  $q$  are not monotonic.

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